Moving the Agenda Forward

Professor Katharine G. Abraham
Director, Maryland Center for Economics and Policy
Initiatives related to effective regulation underway at several universities and non-profits

• Duke: Rethinking Regulation, Kenan Institute for Ethics
  • Research network of faculty and students from Duke, UNC and NC State
  • Emphasis on values and the tradeoffs inherent in the regulatory process

• Georgetown: Center for Business and Public Policy, McDonough School of Business
  • Evolution of Regulation and Innovation Project focused on regulation in the rapidly changing technology sector

• Harvard: Behavioral Insights Group, Kennedy School
  • Apply behavioral theories to improve policy design; test behavioral interventions through rigorous field experiments; collaborate with practitioners to improve products, practices and services; share insights through training and dissemination
  • Regulation one of several broad areas of application
Initiatives related to effective regulation underway at several universities and non-profits (continued)

• Harvard: Regulatory Policy Program, Mossavar-Rahmani Center for Business and Government, Kennedy School
  • Catalyst and clearinghouse for the study of regulation across the university
• NYU: Program on Corporate Compliance and Enforcement, NYU Law
  • Contributes to effective enforcement and compliance through a deeper understanding of the causes of corporate misconduct
• UPenn: Penn Program on Regulation, Penn Law
  • Brings rigorous analysis from multiple disciplines to bear on important regulatory policy problems
  • RegBlog features daily updates, opinions and analysis on regulatory-related matters
Initiatives related to effective regulation underway at several universities and non-profits (continued)

• Tobin Project: Governments and Market Initiative
  • Broad interest in the conditions that foster government success in the regulation of the economy
  • One current focus: Regulatory capture

• University of Maryland: Maryland Center for Economics and Policy, Department of Economics
  • Clearinghouse for research on inspection and compliance
  • Foster collaborations between academic/nonprofit researchers and policy officials/regulatory practitioners to expand the research knowledge base in this area
Research on Effective Government: Inspection and Compliance Workshop 2015

The Research on Effective Government: Inspection and Compliance Workshop, co-sponsored by the Maryland Center for Economics and Policy at the University of Maryland and the Harvard Business School, will be a forum for in-depth discussion of how research can inform effective strategies for achieving regulatory compliance. Participants will include academic researchers and leaders from a variety of enforcement agencies. Sessions at the workshop will focus on improving the targeting of firms for inspections, the conduct of inspections once an inspector arrives on site, enforcement actions such as fining violators or imposing other sanctions, and other actions that can be taken to improve compliance. The program also will include panel discussions on future challenges in compliance, data resources available for studying compliance activities, new directions in academic research on compliance, and new agency initiatives.
<table>
<thead>
<tr>
<th>Year</th>
<th>Title</th>
<th>Authors</th>
<th>External</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>Environmental Regulations and Corruption: Automobile Emissions in Mexico City</td>
<td>Paulina Olliva</td>
<td>true</td>
</tr>
<tr>
<td>2015</td>
<td>Productivity, Safety, and Regulation in Coal Mining: Evidence from Disasters and Fatalities</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2015</td>
<td>Inspector Experience and Product Recalls in the Medical Device Industry</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2015</td>
<td>The Impact of Team Inspections on Enforcement and Detention</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2015</td>
<td>Monitoring Global Supply Chains</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2014</td>
<td>FGIS Risk Assessment for Guiding Public Health-Based Poultry Slaughter Inspection: An Implementation Scenario-Based Approach</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2013</td>
<td>Interagency Risk Assessment: Listeria monocytogenes in Retail Delicatessens</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2013</td>
<td>How Firms Respond to Mandatory Information Disclosure</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2012</td>
<td>The Role of Organizational Scope and Governance in Strengthening Private Monitoring</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2012</td>
<td>Randomized Government Safety Inspections Reduce Worker Injuries with No Detectable Job Loss</td>
<td>[External]</td>
<td>true</td>
</tr>
<tr>
<td>2011</td>
<td>Regulator Heterogeneity and Endogenous Efforts to Close the Information Asymmetry Gap</td>
<td>[External]</td>
<td>true</td>
</tr>
</tbody>
</table>
23 Publications

2015 - Environmental Regulations and Corruption: Automobile Emissions in Mexico City
- Paulina Oliva

- Regulatory Domain: Environmental
- Research Topic: Leveraging Resources
- Study Type: Peer Reviewed Journal Article
- Study Design: Non-Experimental
- Summarized: Yes

2015 - Productivity, Safety, and Regulation in Coal Mining: Evidence from Disasters and Fatalities

2015 - Plant Operations and Product Recalls in the Automotive Industry: An Empirical Investigation

2015 - Inspector Experience and Product Recalls in the Medical Device Industry

2015 - The Impact of Team Inspections on Enforcement and Deterrence

2015 - Codes in Context: How States, Markets, and Civil Society Shape Adherence to Global Labor Standards

2015 - Monitoring Global Supply Chains


2014 - FSIS Risk Assessment for Guiding Public Health-Based Poultry Slaughter Inspection: An Implementation Scenario-Based Approach

2013 - Interagency Risk Assessment: Listeria monocytogenes in Retail Delicatessens

2013 - Customer-Driven Misconduct: How Competition Corrupts Business Practices

2013 - How Firms Respond to Mandatory Information Disclosure

2013 - The Role of Organizational Scope and Governance in Strengthening Private Monitoring

2012 - Randomized Government Safety Inspections Reduce Worker Injuries with No Detectable Job Loss

2011 - Regulator Heterogeneity and Endogenous Efforts to Close the Information Asymmetry Gap


2011 - Common Clean and Common Unclean: Utilizing Self-Reporting Indicators Effective Self-Policing

- Search Terms: Regulatory, Compliance, inspectors
- Authors: M. Toffel, J. Short, F. USDA, R. Shah, G. Ball, R. Shadbegian, W. Gray, D. Levine
Discussion questions

• After seeing the preview of the regulation research archive we are developing, do you have suggestions for making it more useful?

• What ideas do people have about the best approaches for fostering collaborations between academic/nonprofit researchers and policy officials/regulatory practitioners?
  • Future conferences?
  • Smaller workshops focused on a narrower set of topics?
  • Match-making service for pairing agencies with questions they would like to have studied and researchers interested in studying those questions?
  • Other ideas?